





## Article

# Cropping Pattern Mapping in an Agro-Natural Heterogeneous Landscape Using Sentinel-2 and Sentinel-1 Satellite Datasets

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**Abstract:** The quantity of land covered by various crops in a specific time span, referred to as a cropping pattern, dictates the level of agricultural production. However, retrieval of this information at a landscape scale can be challenging, especially when high spatial resolution imagery is not available. This study hypothesized that utilizing the unique advantages of multi-date and medium spatial resolution freely available Sentinel-2 (S2) reflectance bands (S2 bands), their vegetation indices (VIs) and vegetation phenology (VP) derivatives, and Sentinel-1 (S1) backscatter data would improve cropping pattern mapping in heterogeneous landscapes using robust machine learning algorithms, i.e., the guided regularized random forest (GRRF) for variable selection and the random forest (RF) for classification. This study's objective was to map cropping patterns within three sub-counties in Murang'a County, a typical African smallholder heterogeneous farming area, in Kenya. Specifically, the performance of eight classification scenarios for mapping cropping patterns was compared, namely: (i) only S2 bands; (ii) S2 bands and VIs; (iii) S2 bands and VP; (iv) S2 bands and S1; (v) S2 bands, VIs, and S1; (vi) S2 bands, VP, and S1; (vii) S2 bands, VIs, and VP; and (viii) S2 bands, VIs, VP, and S1. Reference data of the dominant cropping patterns and non-croplands were collected. The GRRF algorithm was used to select the optimum variables in each scenario, and the RF was used to perform the classification for each scenario. The highest overall accuracy was 94.33% with Kappa of 0.93, attained using the GRRF-selected variables of scenario (v) S2, VIs, and S1. Furthermore, McNemar's test of significance did not show significant differences ( $p \leq 0.05$ ) among the tested scenarios. This study demonstrated the strength of GRRF in selecting the most important variables and the synergetic advantage of S2 and S1 derivatives to accurately map cropping patterns in small-scale farming-dominated landscapes. Consequently, the cropping pattern mapping approach can be used in other sites of relatively similar agro-ecological conditions. Additionally, these results can be used to understand the sustainability of food systems and to model the abundance and spread of crop insect pests, diseases, and pollinators.

**Keywords:** agricultural productivity; cropping pattern; Kenya; multi-data analysis

## 1. Introduction

The quantity of land covered by various crops in a specific time span, referred to as a cropping pattern [1], dictates the level of global agricultural production, which in turn

influences the agricultural economy [2]. Thus, small-scale farmers who employ various cropping patterns can play a critical role in the global food supply [3]. However, cropping patterns and food security, particularly in Africa, are highly influenced by myriad factors such as climate change and variability, inadequate agricultural inputs, insect pests and diseases, and other abiotic and biotic factors [4].

The types of cropping patterns can include monocropping, crop rotation, and intercropping [5], which are practiced for various reasons such as environmental conditions, profitability, adaptability to changing conditions, tolerance and resistance to insect pests and diseases, the requirement for specific technologies during growing or harvesting, and other elements in the production system [6]. These cropping patterns possess several benefits and drawbacks. For instance, monocropping patterns ensure specialized crop production and expected higher earnings due to mass crop production. However, monocropping practice is characterized by, for example, a high risk of pests and low soil microbe diversity [7]. By comparison, crop rotation minimizes the eroding of soil and improves soil fertility, which results in improved crop yields [8]. Nonetheless, the necessary and regular crop diversification required in crop rotation may be a strain for the farmers if there are no readily available resources for its implementation. Intercropping also promotes soil fertility (reducing risk to climate stress), reduces pest risks, and maximizes land profit. Nevertheless, the different crops in an intercropping practice may require different uptakes of resources such as water and fertilizer, which may not be adequately utilized by the different crops [5]. Intercropping comprises variants such as rows, relay, and mixed cropping, but the present study refers to the different groups of intercropping patterns as mixed cropping in general. Thus, the present study focused on two types of cropping patterns, i.e., monocropping patterns and mixed cropping patterns, that are commonly practiced in the study area.

The choice of crops for planting by farmers varies spatially and among farmers across the different agro-ecological systems [9]. Hence, their accurate characterization is of paramount importance for policy making and implementation strategies necessary for addressing food and nutrition insecurity through precision agriculture. In addition, adequate characterization will improve understanding of the sustainability of food systems and how they are affected by climate. Their characterization is equally important for modeling and managing the abundance and spread of crop insect pests, diseases, and pollinators [10,11]. Nonetheless, small-scale farms ( $\leq 1.25$  ha) and fragmentation of these cropping patterns in Africa, triggered by their high intra- and inter-seasonal variability, prohibit their accurate detection and characterization [10,12].

Currently, information on the spatial spread of these various cropping patterns is scarce, especially over larger regions. This makes reporting and decision making regarding pest and climate resilience of certain cropping patterns challenging. Moreover, traditional terrestrial surveys and assessment methods used to determine the commonly grown crops in an area are often inadequate, expensive, time consuming, and strenuous, and provide insufficient information necessary for precision agriculture, efficient utilization of resources, and effective pest management [10,12]. In contrast, the recent advancement in remote sensing technology provides coherent, timely, concise, and affordable data that can effectively capture the cropping variability at different spatiotemporal scales [13]. In this regard, the usage of remotely sensed data for mapping and modeling cropping patterns and other agronomic practices is well documented in the literature. This has been evidenced by studies carried out on the mapping of various croplands [14–16], crop types [17–19], and cropping patterns [20–23], with the aim of investigating the relevance of different remote sensing systems and image classification methods for improving classification accuracy, reliability, and reproducibility of the results. Diverse imaging systems, analytical techniques, and spectral variables have also been explored for cropping pattern classification. These systems range from optical multispectral [10,24,25] and hyperspectral imaging [26,27] to radar sensors [28,29] with high, medium, and coarse spatial resolutions. In addition, several studies have used varying remotely sensed variables, such as single date [30] and

multi-date (time-series) vegetation indices [21] and phenometrics [31,32], to map cropping patterns. In these studies, various image classifications methods have also been applied, which range from parametric classifiers such as *K*-means clustering [19] and maximum likelihood [22] to non-parametric or machine learning algorithms such as *k*-nearest neighbor (kNN) [16], decision trees (DTs) [21,23], support vector machine (SVM) [22], random forest (RF) [15,17], and fuzzy *c*-means clustering [18]. The selection of these methods is mainly informed by the availability of training data, sample size, computation time, presence of high data dimensionality, and multicollinearity [33]. One of the efficient methods for reducing data dimensionality and simultaneously handling multicollinearity is the guided regularized random forest (GRRF), [34,35]. Compared to the RF classifier [36], GRRF is superior in selecting optimum uncorrelated variables [37], whereas RF is a robust classifier because it adequately handles diverse scales, interactions, and nonlinearities, among other numerical and categorical variables [36]. In other words, the GRRF is an efficient algorithm for selecting the most relevant predictors because its trees are grown in a sequential manner oriented towards the identification of the most important variables during the training step in a classification experiment [38]. This type of training approach can lead to a high variance of predictions, as suggested by Deng and Runger [37]. Hence, the GRRF should not be used as a classification method, but variables selected by the algorithm should be used as inputs in an efficient classification algorithm (e.g., RF) as predictors to evaluate their values in discriminating among features of interest, such as cropping patterns [39].

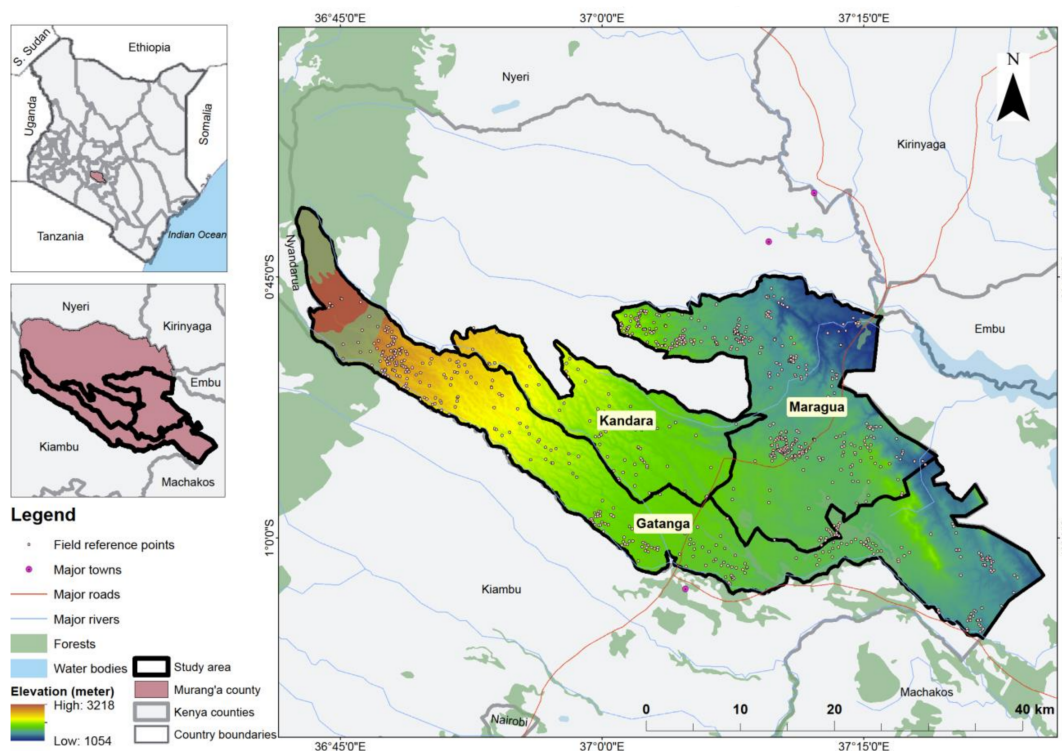
Despite the efforts in selecting optimum datasets and classification algorithms, challenges in mapping cropping patterns remain evident in Africa [40]. These challenges include the inter- and intra-season changes in crop phenological cycles due to variability in farming practices or weather conditions, and highly fragmented landscapes on which the crops are grown [18,21,41]. Moreover, accurate characterization of cropping patterns in heterogeneous landscapes often requires high ( $\leq 5$  m) spatial resolution imagery [42], whose accessibility can be constrained due to their cost implications. Previous studies have proposed the use of optical and radar datasets of medium spatial resolutions [12], the use of crop phenological variables extracted from the relatively new satellite sensors such as Sentinel-2 (S2) [43], the use of multisource remotely sensed and ancillary data to improve the quality and timeliness of in-season cropping patterns mapping [44], together with the use of freely available multi-temporal remote sensing data [10].

This study hypothesized that utilizing the unique advantages of multi-date and medium spatial resolution freely available S2 reflectance bands (S2 bands), their vegetation indices (VIs) and vegetation phenology (VP) derivatives, and Sentinel-1 (S1) backscatter data would improve cropping pattern mapping in heterogeneous landscapes using robust machine learning variable selection algorithms such as GRRF [37] and classifiers such as RF [36]. The tested null hypothesis was that the performance of mapping cropping patterns using eight different scenarios was not significantly different ( $p \leq 0.05$ ) using McNemar's test [45]; these scenarios were: (i) only S2 bands; (ii) S2 bands and VIs; (iii) S2 bands and VP; (iv) S2 bands and S1; (v) S2 bands, VIs, and S1; (vi) S2 bands, VP, and S1; (vii) S2 bands, VIs, and VP; and (viii) S2 bands, VIs, VP, and S1. Therefore, this study's objective was to evaluate the strengths of the freely available multi-date medium resolution S2 bands, their VIs and VP variables, and S1 backscatter data for mapping cropping patterns in a heterogeneous agro-natural production system in Murang'a County, Kenya. Specifically, the performance of the eight multi-sensor classification scenarios for delineating cropping patterns utilizing a robust feature selection and classification algorithm (i.e., GRRF and RF, respectively) was assessed and compared.

## 2. Study Area

The study was conducted using the case of Murang'a County of Kenya (Figure 1). Murang'a lies between latitudes of  $0^{\circ}34'00''$  S and  $1^{\circ}07'00''$  S and longitudes of  $36^{\circ}00'00''$  E and  $37^{\circ}27'00''$  E. The elevation ranges between 900 and 3355 m above sea level with an area coverage of 2326 km<sup>2</sup>. Murang'a County is characterized by six agro-ecological zones

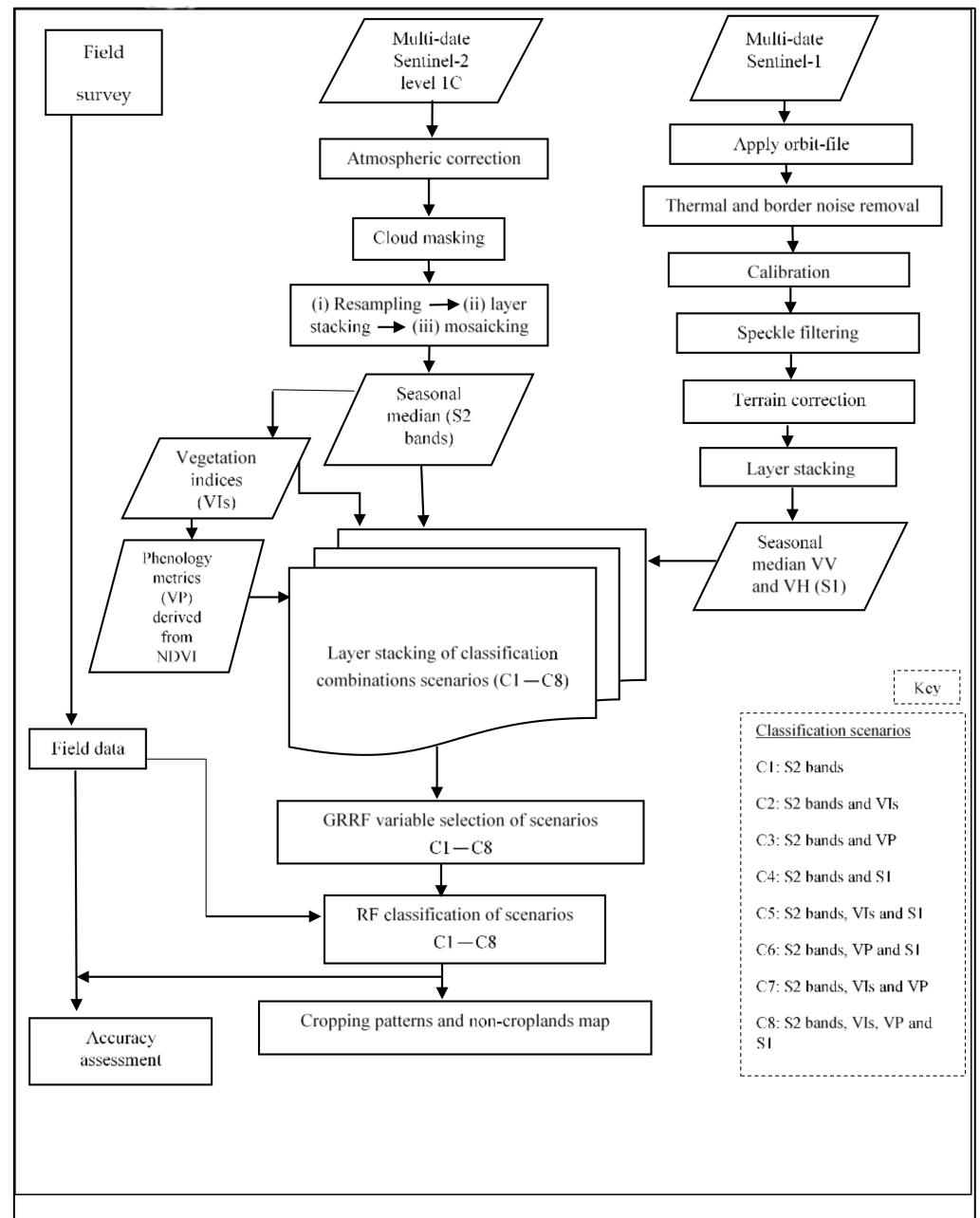
and three climatic regions, i.e., equatorial, subtropical, and semiarid climatic regions [46]. The region has a bimodal rainfall pattern, with long rains occurring in March–May and short rains in October–November of each year. On average, the annual rainfall ranges from >800 mm in the southeast to >2600 mm in the northwest, and the annual temperature range is 12–20 °C [47]. The study area has a complex heterogeneous landscape, translating into heterogeneous cultivation of crops such as avocado, maize, common bean, sweet potato, arrowroot, macadamia, pineapple, banana, tea, and coffee, which are planted in different cropping patterns at different points in time across the cropping season. Monocropping is predominantly practiced for commercial purposes and mixed cropping mostly for subsistence consumption. However, some crops such as avocado, grown in mixed cropping patterns by smallholder farmers in Murang’a, are also used for commercial purposes [48]. Murang’a County is a major avocado producing area in Kenya and is a horticultural crop whose export value has increased during the past decade [49]. Three sub-counties suitable for avocado farming in Murang’a County i.e., Kandara, Maragua, and Gatanga were selected. Mapping of the cropping patterns in this region can be utilized, for instance, to improve the understanding of the contribution of each cropping pattern to the abundance of avocado pests and pollinators across different vegetation intensities for sustainable management [50].



**Figure 1.** Location of the study area comprising the three sub-counties, i.e., Kandara, Maragua, and Gatanga, in Murang’a County, Kenya with overlaid field reference points and surface features. The background layer shows the elevation across the study area that was generated from the shuttle radar topography mission of 30 m spatial resolution.

### 3. Methodology

Figure 2 illustrates the methodological approach used to map the cropping patterns in the study area using different remotely sensed variables extracted from S2 and S1 imageries. To ensure the compatibility and reliability of the already georeferenced (Universal Transverse Mercator: UTM zone 37 south) S2 and S1 imagery, the respective standard preprocessing and processing procedures that are described in the following sections were employed.



**Figure 2.** Workflow diagram of the methodology adopted for cropping pattern classification. NDVI is normalized difference vegetation index, GRRF is guided regularized random forest, RF is random forest, VV is the vertical transmit and vertical receive, and VH is the vertical transmit and horizontal receive.

### 3.1. Remotely Sensed Data

The remotely sensed data utilized in this study included multi-date reflectance bands, VIs and VP from S2, and backscatter from S1. These freely available datasets of S2 and S1 were selected to emphasize the various parts of the electromagnetic spectrum for accurate cropping pattern mapping.

#### 3.1.1. Sentinel-2

Freely available multi-date S2 level 1C imagery ( $n = 128$  scenes) captured during four seasons from 10 December 2017 to 15 December 2018 (Table 1) with cloud cover less than 20% were obtained from the European Space Agency (ESA) Copernicus open

access hub [51]. The S2 imagery were obtained from four tiles within the same UTM zone 37 South, i.e., tiles 37MBV, 37MVC, 37MCU, and 37MBU. S2 is a multispectral sensor with a swath width of 290 km<sup>2</sup>, providing 13 spectral bands with pixel sizes of 10, 20, and 60 m across the visible, near-infrared, and shortwave infrared spectrum [51], as shown in Table 2. The S2 images were atmospherically corrected using the Sen2cor module in the Sentinel application platform (SNAP) toolbox [51]. Cloud masking, resampling of the S2 bands of 20 m spatial resolution to 10 m, layer stacking, mosaicking, and computation of the median pixel image for each season were also performed in SNAP. The resampling procedure was conducted using the nearest neighbor technique, which preserves the original pixel spectral values while resizing their spatial resolution to a user-defined value (i.e., 10 m) [52]. The error of the resampling procedure was less than a pixel, which is considered acceptable in most studies [53,54]. The respective median images were subset to the study area. Coastal and aerosol (band 1), water vapor (band 9), and cirrus (band 10) bands were excluded from this analysis because they mostly contribute to atmospheric and geophysical parameters [51], which were not the focus of this study.

**Table 1.** Sentinel-2 multispectral data acquisition dates and their specific seasons of the year 2017–2018.

Acquisition Date	Season	Number of Images
10 December 2017–25 February 2018	Hot dry (Season 1)	42
26 February 2018–20 June 2018	Long rainy (Season 2)	24
21 June 2018–20 September 2018	Cool dry (Season 3)	22
21 September 2018–15 December 2018	Short rainy (Season 4)	40
Total		128

**Table 2.** Sentinel-2 multispectral sensor wavebands, description, resolution, and their respective central wavelengths. Bands 1, 9, and 10 were excluded from this analysis.

Band	Resolution (m)	Central Wavelength (nm)	Description
B1	60	443	Ultra-blue (Coastal and aerosol)
B2	10	490	Blue
B3	10	560	Green
B4	10	665	Red
B5	20	705	Vegetation red edge (RE1)
B6	20	740	Vegetation red edge (RE2)
B7	20	783	Vegetation red edge (RE3)
B8	10	842	Near-infrared (NIR)
B8a	20	865	Narrow NIR (NNIR)
B9	60	940	Short wave infrared (SWIR1)-water vapor
B10	60	1375	Short wave infrared (SWIR2)-cirrus
B11	20	1610	Short wave infrared (SWIR3)
B12	20	2190	Short wave infrared (SWIR4)

### 3.1.2. Vegetation Indices (VIs)

VIs are a combination of spectral characteristics of two or more wavelength bands that indicate the relative abundance of vegetation components such as chlorophyll and water contents [55]. The VIs described in Table 3 were selected and derived for this study from the median images of a multi-date S2 imagery. Composite images of each of the VIs ( $n = 8$ ) were then created. These VIs were selected for cropping pattern mapping because they

are the most robust indices that mimic vegetation seasonality and are known to reduce residual contamination due to atmospheric noise and soil background [30]. The multi-date and multi-season features in the VIs can also cater for the normalized difference vegetation index (NDVI) anisotropic effects [56], for instance.

**Table 3.** Vegetation indices used in the present study.

No.	Index	Abbreviation	Reference
1	Normalized difference vegetation index	NDVI	[57]
2	Enhanced vegetation index	EVI	[58]
3	Two-band enhanced vegetation index	EVI2	[59]
4	Normalized difference water index	NDWI	[60]
5	Modified soil adjusted vegetation index	MSAVI	[61]
6	Soil adjusted vegetation index	SAVI	[62]
7	Green normalized difference vegetation index	GNDVI	[63]
8	Atmospherically resistant vegetation index-2	ARVI2	[64]

### 3.1.3. Vegetation Phenological (VP) Variables

VP variables mimic the growth life cycle of a plant [65]. The VP variables as described by Araya [66] were derived in the present study and are summarized in Table 4. The VP variables were simulated from the multi-date NDVI curve of the S2 images of each of the four seasons (Table 1). A composite image for the VP variables ( $n = 15$ ) was created and used in the cropping pattern classification experiment.

**Table 4.** Description of vegetation phenological variables derived in the present study.

No.	Phenological Variable	Definition of the NDVI Curve and Physiological Description
1	Onset_value	The NDVI value at the start of the growth (seedling growth stage)
2	Onset_time	The time when the growth onset is achieved
3	Max_value	The maximum NDVI value in the season
4	Max_time	The time when the max_value is attained (anthesis growth stage)
5	Offset_value	The NDVI value at the end of the season
6	Offset_time	The time when growth offset is attained (senescence growth stage)
7	LengthGS	The length of the growing season
8	BeforeMaxT	The length of time between onset and max_value
9	AfterMaxT	The length of time between max_value and offset
10	GreenUpSlope	The rate of increase in NDVI value between onset and offset
11	BrownDownSlope	The rate of decrease in NDVI value between max_value and offset
12	TINDVI	The area under the NDVI curve between onset and offset
13	TINDVIBeforeMax	The area under the NDVI curve between onset and max_value
14	TINDVIAfterMax	The area under the NDVI curve between max_value and offset
15	TINDVIAsymmetry	The difference between BeforeMaxTINDVI and AfterMaxTINDVI

### 3.1.4. Sentinel-1 Backscatter Data

Multi-date S1 imageries ( $n = 30$ ) of four seasons were obtained from the ESA Copernicus open access hub [51] and used in this analysis (Table 5). The acquisition dates of S1 imagery were slightly different from those of S2 due to image availability in the ESA archive. The S1 sensor provides C-band synthetic aperture radar (SAR) images in both singular and dual-polarization with a revisit cycle of 12 days [51]. Acquisition of these

images was in four modes, i.e., stripmap (SM), interferometric wide swath (IW), extra-wide swath (EW), and wave (WV) with different processing levels, i.e., Level-0, Level-1 (Single Look Complex-(SLC), ground range detected-(GRD)), and Level-2 [51]. In this study, Level-1 S1 products of GRD and IW were used. The S1 images were dual-polarized in vertical transmit and vertical receive (VV), and vertical transmit and horizontal receive (VH) mode. The pre-processing procedures of S1 images were performed in the SNAP toolbox [51]. Based on Filipponi [67], these processes included applying the precise orbit file (provides accurate satellite position and velocity information), removal of thermal noise (normalizes the backscatter), removal of image border noise (compensates for the change in earth's curvature), radiometric calibration using beta nought (converts digital pixel values to radiometrically calibrated SAR backscatter brightness), and speckle filtering using the Lee sigma filter (reduces salt and paper effect). Terrain correction using 90 m shuttle radar topography mission elevation data [68] was carried out, after resampling to 10 m using the bilinear resampling technique, which preserves the geometric properties of the elevation data [69]. These processed images were then stacked to produce the per season median pixel value of the VV and VH image bands that were then subset to the extent of the study area.

**Table 5.** Sentinel-1 backscatter data acquisition dates and their specific seasons in the years 2017 and 2018.

Acquisition Date	Season	Number of Images
10 December 2017–1 March 2018	Hot dry (Season 1)	5
2 March 2018–15 June 2018	Long rainy (Season 2)	9
16 June 2018–15 October 2018	Cool dry (Season 3)	8
16 October 2018–31 December 2018	Short rainy (Season 4)	8
Total		30

### 3.2. Field Data Collection

A stratified random sampling technique was used for the collection of the field data (i.e., ground-truthing), within three vegetation intensity classes (i.e., low, medium, and high) as strata at a landscape scale that were generated using the *K*-means clustering method [70] and the NDVI composite image. The detailed methods and results of the three vegetation intensity classes can be found in Toukem et al. [50]. Stratified random sampling was employed to provide a comprehensive representation of the cropping patterns within the different vegetation intensities in the study area. A global positioning system (GPS)-based mobile application, GPS Essentials [71], with a maximum allowable error of  $\pm 3$  m, was used to collect the field reference data for the cropping patterns and non-croplands during the period ranging from 13 December 2018 to 19 December 2018, corresponding to the short rainy season. The cropping pattern classes included monocrop avocado, monocrop coffee, monocrop maize, monocrop tea, monocrop pineapple, mixed crop avocado, and mixed crop maize. The non-cropland classes included water bodies, built-up areas, grassland, shrubland, and forest. The non-cropland classes were included due to the heterogeneity of the landscape in the study area. Field reference points were randomly sampled at  $\geq 20$  m apart from any direction within each of the three vegetation intensity strata, ensuring that all classes had more than thirty data entries evenly spread across the strata. The sampling distance of  $\geq 20$  m was a key criterion in ensuring that field reference points did not fall in the same image pixels. Specifically, the field reference data of each class were collected as points (i.e., pixels), which were then converted to homogenous units (i.e., polygons) using on-screen digitization on high-resolution Google Earth imagery [72]. The pixel values within the homogenous polygons were then extracted to be utilized for the cropping pattern predictions, as summarized in Table 6. The number of pixels extracted in the different polygons had some variation due to the polygons' sizes, which were influenced by the



necessity to accurately capture the heterogeneity of the classes in the landscape. The field reference data were randomly divided into 70% training and 30% testing sets to train and evaluate the accuracy of the RF classifier, respectively.

**Table 6.** The number of polygons (i.e., field reference points) and pixels used per class.

Class	No. of Polygons	No. of Pixels
Built-up area	86	375
Grassland	76	936
Mixed crop avocado	85	191
Mixed crop maize	54	299
Monocrop avocado	74	242
Monocrop coffee	49	1462
Monocrop maize	56	479
Monocrop pineapple	76	1451
Monocrop tea	78	406
Forest	85	3005
Shrubland	59	743
Water	38	1397
Total	872	10,986

### 3.3. Predictor Variables Selection and Classification

Although several image classification methods exist for remotely sensed data, the method to be used should consider factors such as data multidimensionality and multicollinearity, computation time, and availability of the training dataset [33]. In this study, data multidimensionality and multicollinearity were considered as analytical constraints; hence, a robust feature selection algorithm was firstly utilized to select the most relevant variables to map the cropping pattern classes. Secondly, a RF classification algorithm was employed to accurately and reliably map the cropping patterns in the heterogeneous study area.

#### 3.3.1. Guided Regularized Random Forest (GRRF)

Prior methods that have been used for variable importance measurement and selection in remotely sensed data analysis include RF and regularized random forest (RRF) among others [36,37]. RF identifies variables' importance based on their gain in all nodes of the trees, but is constrained by the need to either apply a threshold of variable importance or fixing the number of variables to select [53]. In addition, variables that are selected by RF could be highly correlated [39]. In contrast, in RRF, a variable is commonly selected by building only one ensemble in which the variable importance is evaluated on a part of the training data at each node [37]. However, the limitation of RRF feature selection is that several features can share the same information gain at a node with a small number of instances and a huge number of features, causing a likelihood of RRF to select a feature that is not robustly relevant.

To address the limitations of RF and RRF, GRRF uses a similar concept as RF but includes a double regularization based on the RF feature importance and penalizes each feature individually [37]. This results in the generation of a subset of the most important variables that are non-redundant and representative [37]. Mainly, GRRF maintains the base coefficient of lambda ( $\lambda$ ) value of 1 but assigns a penalty coefficient to each feature by changing the importance coefficient of gamma ( $\gamma$ ) value of 0 to 1, which controls the weight of normalized importance. This ensures that the most relevant and uncorrelated variables are retained [38]. However, it is important to note that  $\gamma = 0$  in GRRF is expected

to perform similarly to the RRF in terms of the most important variable selection [37]. Thus, in this study  $\gamma = 0.7$  was set in the “CoefReg” function in the “caret” package in R software to select the most important variables [73]. The level of importance of the variables was measured using the mean decrease in accuracy (MDA) score variable ranking approach that is based on RF [74]. The MDA approach unlinks the relationship between independent and dependent variables by randomly permuting their feature values [74]. Hence, in this study, the GRRF algorithm was used to select the most important variables in the eight individual cropping patterns classification scenarios shown in Table 7. A detailed explanation of GRRF can be found in Deng and Runger, [37]. However, GRRF was not used for the cropping pattern classification experiment because the algorithm may have higher variance compared to the RF because the trees in the GRRF are not built independently [37]. Thus, the RF classifier was applied to the most important variables selected by the GRRF in each scenario for the cropping pattern prediction.

**Table 7.** The classification scenarios and the remotely sensed variables that were used in each scenario.

Classification Scenario	No. of Total Variables Combined	Variables
C1	40	Sentinel-2 reflectance bands (S2 bands)
C2	48	S2 bands vegetation indices (VIs), i.e., S2 bands and VIs
C3	55	S2 bands and vegetation phenology (VP), i.e., S2 bands and VP
C4	48	S2 bands and Sentinel-1 (S1) backscatter bands, i.e., S2 bands and S1
C5	56	S2 bands, VIs, and S1
C6	63	S2 bands, VP, and S1
C7	63	S2 bands, VIs, and VP
C8	71	S2 bands, VIs, VP, and S1

### 3.3.2. Random Forest (RF) Classifier

RF is a supervised machine learning method that is non-parametric, i.e., it uses an adaptable number of predictor variables by combining a large set of decision trees and does not adhere to the normal distribution of the predictor variables [36]. A bootstrapping technique is used to build RF, whereby each decision tree is fitted based on a random subset of training samples containing 2/3 of the original data, commonly referred to as in-bag data, which is taken with replacement [75]. Before classification, the RF classifier usually requires two parameters to be set, i.e., number of decision trees (*ntree*) grown and variables used at each split (*mtry*). From the *mtry* selected variables, the variable that produces the highest reduction in impurity is chosen to split the samples at each node [35]. A tree is grown to its maximal size and trimmed only when the nodes are pure and thereafter used for prediction. The purity of the nodes is determined when the nodes carry samples of the same class or contain a certain number of samples [76].

RF was used because it is fast, insensitive to overfitting, and minimizes prediction errors by ensuring that the out-of-sample error of a forest of tree classifiers is dependent on the strength of the individual trees in the forest and their correlation [77,78]. A comprehensive explanation of RF can be found in Breiman [36], and Liaw and Wiener [76], among others. Like in the GRRF variable selection experiment, the same default settings of *ntree* and *mtry* to train and validate the RF classifier were used. Furthermore, a tune length of 3 was employed to control the algorithm to attempt different values for the main parameter in the prediction [73].

### 3.3.3. Classification Accuracy Assessment

A 10-fold cross-validation method with 10 repeats was used to estimate the accuracy of the RF classification model internally [79]. Subsequently, the model testing data (30%) were

used to construct the confusion matrices of each cropping pattern classification scenario [33]. The performance of each classification scenario and hypothesis testing was then evaluated using the area under class method [80]. The area under class method establishes the unbiased total area of each class because it includes the area of the map omission error of each class, leaving out the commission error. The producer's accuracy (PA), user's accuracy (UA), overall accuracy (OA), and Kappa were then computed from the classification error matrix of estimated area proportions, taking into account the portion of each class in the study area. For an in-depth description of the theoretical and mathematical background of the area under class accuracy assessment method, readers are referred to Olofsson et al. [80]. Moreover, a class-wise accuracy assessment was performed for each class using the F1-score criterion [81]. F1-score is a measurement that balances the difference between PA and UA for each class  $i$  through the formulation of the harmonic mean of PA and UA as shown in Equation (1).

$$(F1)_i = (2 \times PA_i \times UA_i) \div (PA_i + UA_i) \quad (1)$$

The unbiased estimated area of each class and a confidence interval of 95% [80] were also calculated from the most accurate scenario. Additionally, a McNemar's chi-square test [45] was carried out to test for any statistically significant differences ( $p \leq 0.05$ ) among the cropping pattern mapping results from the classification scenarios.

## 4. Results

### 4.1. Variable Selection Using the Guided Regularized Random Forest (GRRF) Algorithm

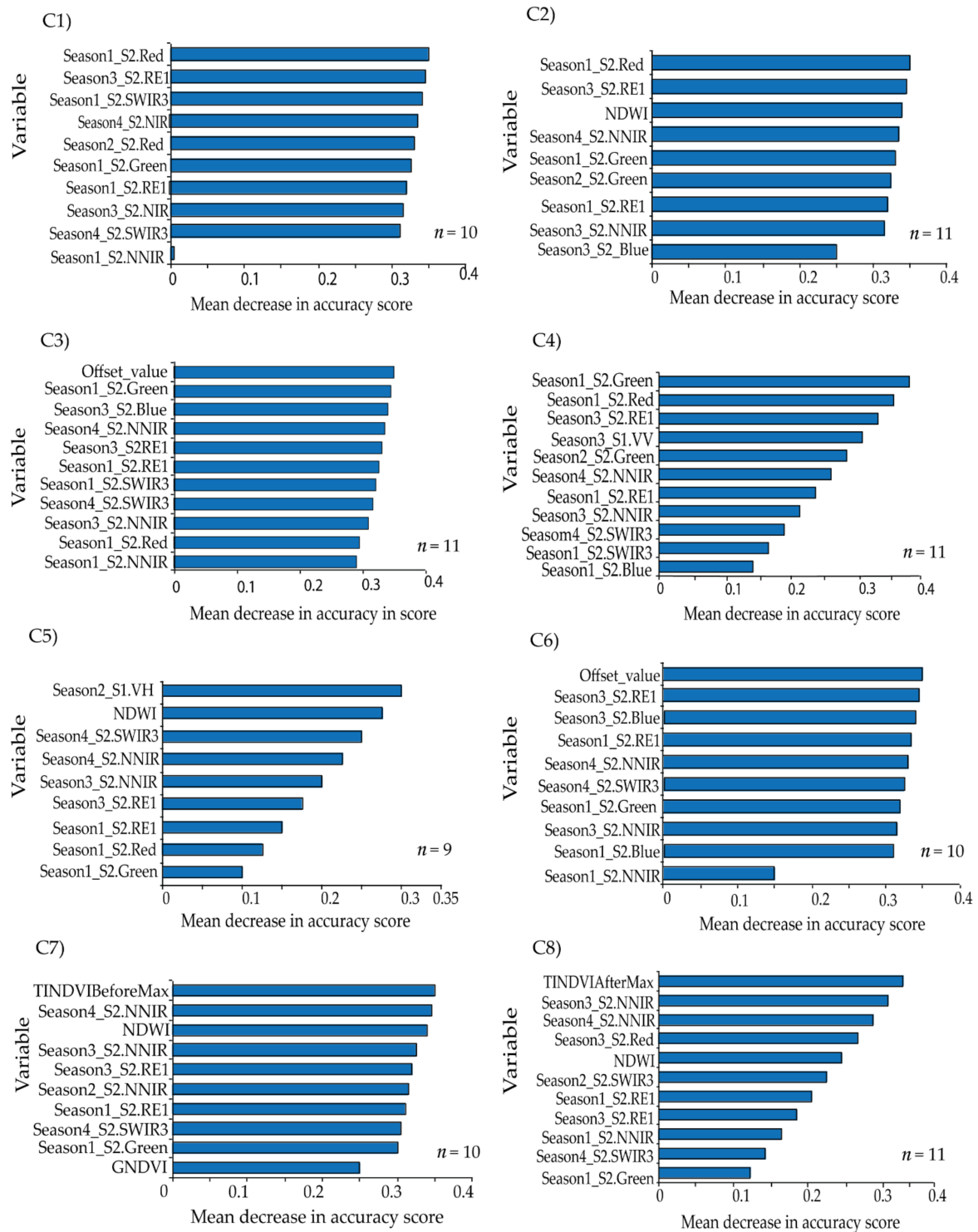
Reflectance bands from the S2 sensor across the four seasons in all the GRRF scenarios dominated the most relevant variables selected. Specifically, the S2 bands of RE1, NNIR, SWIR3, Red, Green, and Blue were selected. Additionally, NDWI and GNDVI were the most relevant VIs selected from the eight indices investigated. The most relevant VP variables selected were the Offset\_value, TINDVIBeforeMax, and TINDVIAfterMax, whereas the S1 bands of VV and VH were selected. The specific variables selected in each of the eight scenarios C1 to C8 are shown in Figure 3.

### 4.2. Cropping Pattern Mapping

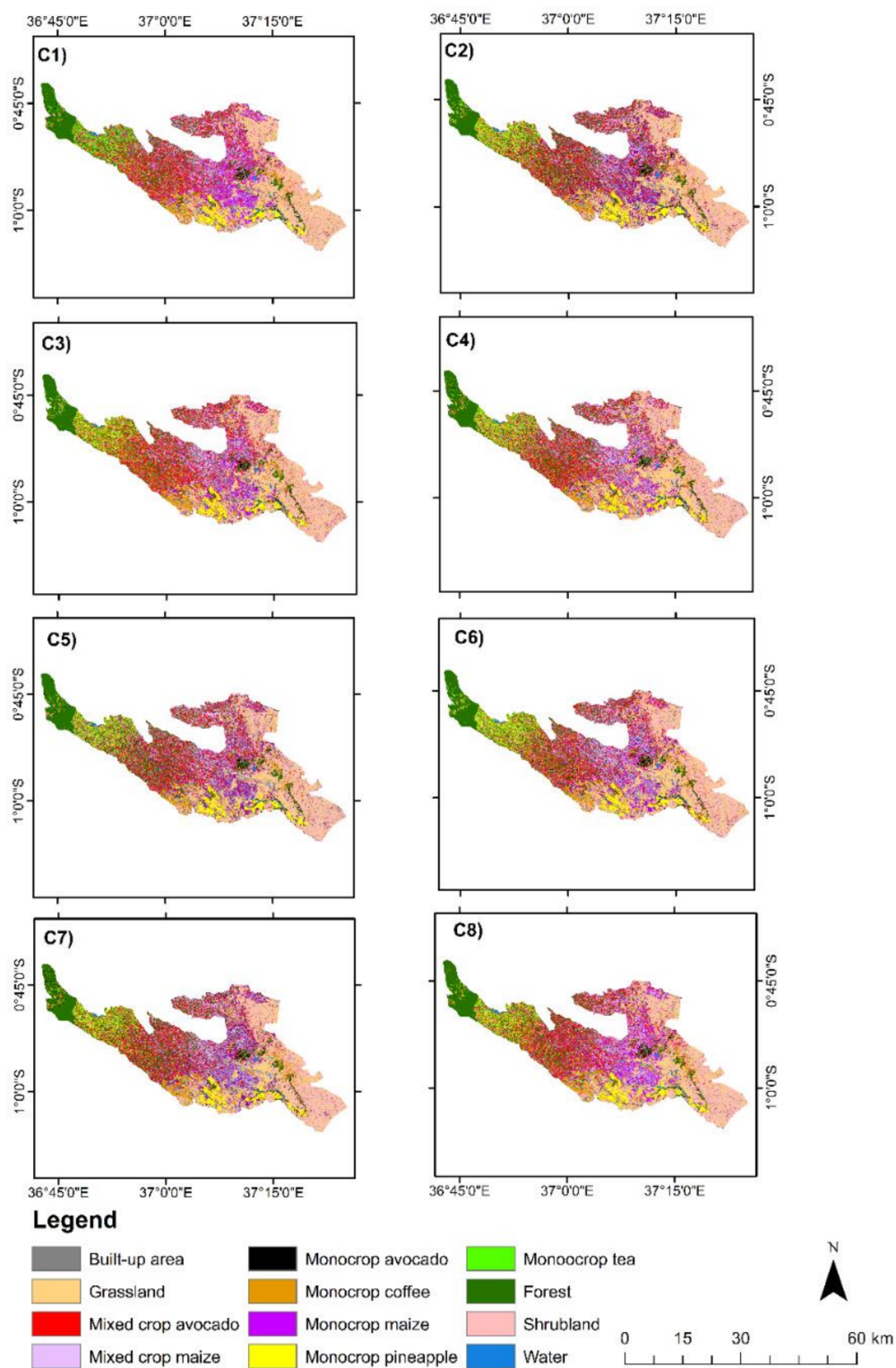
The cropping pattern maps of the tested classification scenarios are shown in Figure 4. The southeastern side of the study area is mainly characterized by monocrop pineapple, the southwestern side is characterized by monocrop coffee, the western side is characterized by monocrop tea, and the eastern side is dominated by mixed crop maize, monocrop maize, mixed crop avocado, and monocrop avocado. The non-croplands such as shrublands and grasslands are shown to be dominant on the eastern side whereas forest is most evident on the northwestern side.

### 4.3. Mapping Accuracy Assessment

The OA of all the GRRF-selected variable classification scenarios (Figure 3) was above 90.00% and Kappa was above 0.88, as shown in Figure 5a,b. Regarding the class-wise performance of the cropping patterns, the highest PA (100%) was shown in monocrop avocado in scenarios C1–C3 and monocrop tea in scenarios C3, C4, and C6. The highest UA (100%) was predicted for monocrop pineapple in scenarios C1–C7 and monocrop tea in scenarios C7 and C8. The highest F1-score (100%) was shown for monocrop pineapple in scenarios C7 and C8. In contrast, the lowest PA (77.56%) was predicted for mixed crop maize in scenario C4, and the lowest UA (72.54%) and lowest F1-score (80.55%) were recorded for the mixed crop maize in the C4 and C1 scenarios, respectively. Although the non-croplands were not a focus of the present study, they were mapped with acceptable F1-score accuracies of above 80%. A summary of all the class-wise accuracies of the tested scenarios is shown in Figure 6. McNemar's test for significance showed no statistical significance ( $p \leq 0.05$ ) difference between the tested scenarios (Table 8).

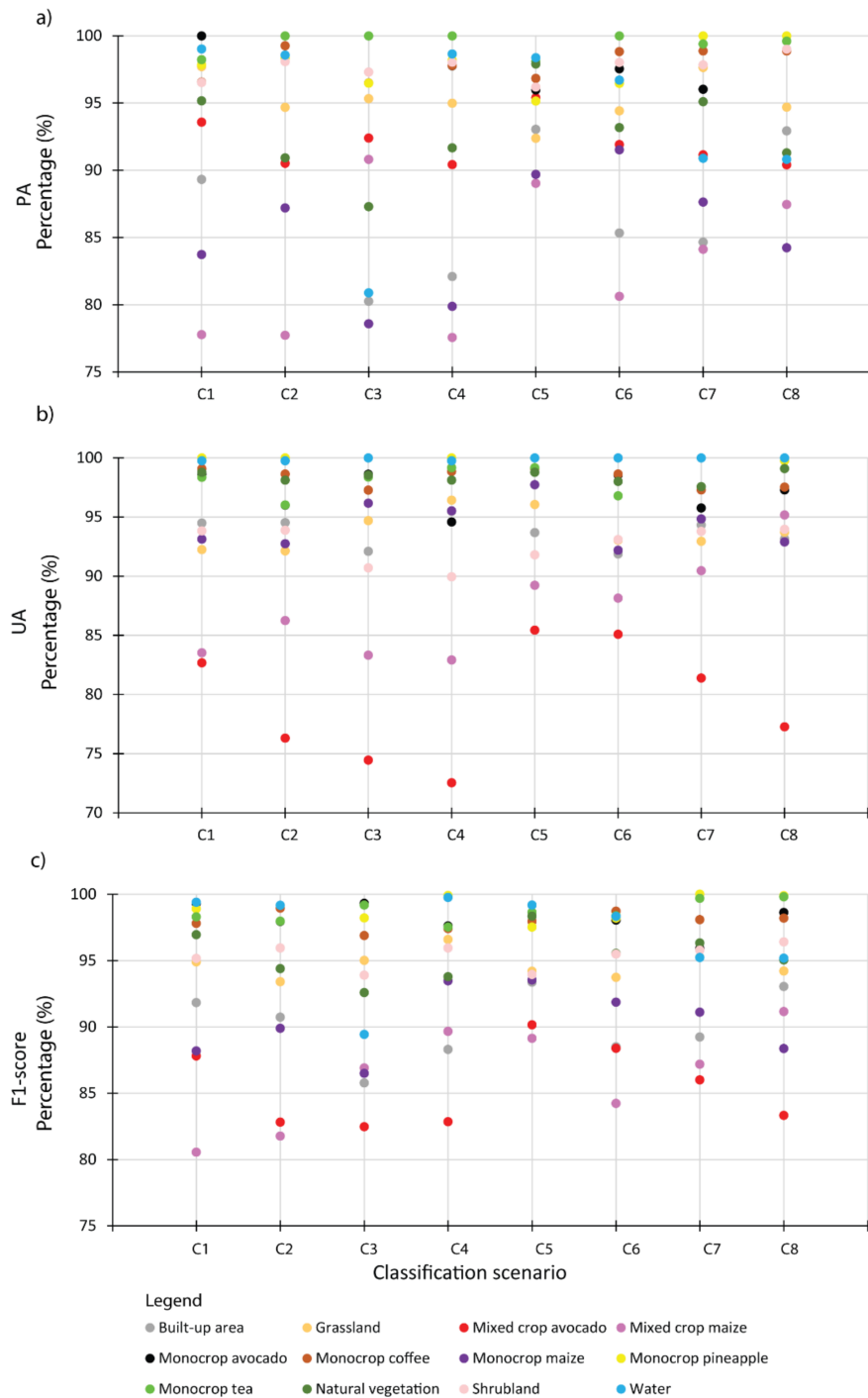


**Figure 3.** Guided regularized random forest- selected variables in each of the eight cropping pattern classification scenarios C1 to C8. **C1** = Sentinel-2 reflectance bands, i.e., S2 bands; **C2** = S2 bands and vegetation indices (VIs), i.e., S2 bands and VIs; **C3** = S2 bands and vegetation phenology (VP), i.e., S2 bands and VP; **C4** = S2 bands and Sentinel-1 (S1) backscatter data, i.e., S2 bands and S1; **C5** = S2 bands, VIs and S1; **C6** = S2 bands, VP and S1; **C7** = S2 bands, VP and VIs; **C8** = S2 bands, VIs, VP and S1; NDWI = normalized difference water index; GNDVI = green normalized difference vegetation index; NIR = near infrared band 8 of S2; RE1= vegetation red edge band 5 of S2; SWIR3 = short wave infrared band 11 of S2; NNIR = narrow near infrared band 8a of S2; VV = vertical transmit vertical receive of S1; VH = the vertical transmit horizontal receive of S1; TINDVIBeforeMax = area under the NDVI curve between onset and max\_value of VP variables and TINDVIAfterMax = area under the NDVI curve between max\_value and offset of VP variables.



**Figure 4.** Cropping pattern and non-cropland maps produced using the guided regularized random forest-selected variables of each classification scenario (C1–C8) and the random forest classifier. C1 = Sentinel-2 reflectance bands, i.e., S2 bands; C2 = S2 bands and vegetation indices (VIs), i.e., S2 bands and VIs; C3 = S2 bands and vegetation phenology (VP), i.e., S2 bands and VP; C4 = S2 bands and Sentinel-1 (S1) backscatter data, i.e., S2 bands and S1; C5 = S2 bands, VIs and S1; C6 = S2 bands, VP and S1; C7 = S2 bands, VIs, and VP; and C8 = S2 bands, VIs, VP and S1.





**Figure 6.** Summarized class-wise accuracies of (a) producer’s accuracy (PA), (b) user’s accuracy (UA), and (c) F1-score using the guided regularized random forest (GRRF)-selected variables of each scenario (C1–C8). C1 = Sentinel-2 reflectance bands, i.e., S2 bands; C2 = S2 bands and vegetation indices (VIs), i.e., S2 bands and VIs; C3 = S2 bands and vegetation phenology (VP), i.e., S2 bands and VP; C4 = S2 bands and Sentinel-1 (S1) backscatter data, i.e., S2 bands and S1; C5 = S2 bands, VIs and S1; C6 = S2 bands, VP, and S1; C7 = S2 bands, VIs, and VP; and C8 = S2 bands, VIs, VP and S1.

#### 4.4. Area Estimation

The unbiased area estimate calculated from the best RF classification scenario, i.e., GRRF-selected variables in C5 among the cropping patterns revealed that monocrop maize had the greatest acreage (15,052.61 ha) followed by mixed crop avocado (14,404.16 ha),

whereas the lowest acreage in the cropping patterns was the monocrop avocado (1642.60 ha) class. In non-croplands, shrubland had the greatest acreage (20,479.06 ha) followed by forest (20,177.30 ha), whereas water had the lowest acreage (1358.00 ha), followed by built-up area (9740.52 ha). The acreages of other cropping patterns and non-croplands are summarized in Table 9.

**Table 9.** The unbiased area estimates and approximate 95% confidence intervals (CIs) of the cropping patterns and non-croplands obtained using the area under class method of the most accurate variables combination result from the guided regularized random forest-selected variables of scenario C5. C5 = Sentinel-2 reflectance bands (S2 bands), vegetation indices (VIs), and Sentinel-1 (S1) backscatter data.

Class	Unbiased Area Estimates (ha)	95% CI of Unbiased Area Estimates (ha)	
		Plus	Minus
Built-up area	9740.523	10,408.55281	9072.496071
Grassland	16,563.03	17,477.96132	15,648.10646
Mixed crop avocado	14,404.16	16,021.45784	12,786.87399
Mixed crop maize	11,552.83	12,918.59	10,187.06
Monocrop avocado	1642.60	1718.80	1566.39
Monocrop coffee	8318.16	8615.92	8020.39
Monocrop maize	15,052.61	16,056.81	14,048.41
Monocrop pineapple	3709.18	3963.34	3455.01
Monocrop tea	4869.05	5070.43	4667.67
Forest	20,177.30	20,809.75	19,544.84
Shrubland	20,479.06	21,509.13	19,448.98
Water	1358.00	1402.05	1313.95

## 5. Discussion

This study leveraged the synergetic advantage of integrating the freely available medium resolution multi-date and multi-sensor variables for classifying cropping patterns in a heterogeneous agro-natural landscape in Kenya when high-resolution imagery is not available. The performance of eight classification scenarios that resulted from combining S2 bands, their VIs and VP variables, and S1 SAR backscatter imagery was tested. Seven cropping patterns were classified. The study demonstrated that cropping patterns in a heterogeneous landscape in Murang'a, Kenya, can be accurately (OA > 90% and Kappa > 0.88) mapped using the freely available multi-date medium resolution S2 bands, their VIs and VP, and S1 backscatter data by employing a robust GRRF feature selection algorithm and RF classifier. In summary, the objective and the tested hypothesis were successfully achieved.

Satellite-based data acquired over four seasons (i.e., hot and cool dry, in addition to short and long rainy season) that were assumed to have captured all the changes in inter- and intra-vegetation dynamics were utilized. The use of optical satellite time-series data increased the chances of acquiring cloud-free imagery and hence resulted in higher chances of improved classification results, corroborating results of other previous studies [82,83]. Persistent cloud coverage can considerably affect the quality of optical S2 imagery [84]. S2 and S1 have varied revisit periods of 5 and 12 days, respectively, and these differences were accounted for using seasonal median composites. Furthermore, the performance of the classification experiments using an error matrix that takes into account the estimated area proportion of each class [80] was assessed. This is a robust and more reliable classification accuracy assessment method compared to the traditional classification confusion matrix that considers the number of mapped instances of each class.

The overall classification accuracies achieved were above 90% for all the tested scenarios, whereas Kappa ranged from 0.89 to 0.93 across the tested scenarios. This could



be attributed to the use of the multi-date imageries and the selection of the most relevant variables using GRRF. GRRF has the capability of reducing multidimensionality and the expected multicollinearity by maintaining the most relevant variables for the analysis. Therefore, the most important variables selected across the eight scenarios were S2 bands of NNIR, SWIR3, RE1, Red, Green, and Blue; VI bands of NDWI and GNDVI, VP bands of Offset\_value, TINDVIBeforeMax and TINDVIAfterMax; and S1 bands of VV and VH. The selection of NNIR can be explained by the narrowness of the NIR waveband region at 865 nm, which is known to be less contaminated by water vapor and represents the NIR plateau for vegetation while also being sensitive to some soil chemical properties [51]. Moreover, the reflectance in the SWIR3 band is sensitive to the internal leaf structure [85], whereas the red edge band (RE1), in addition to visible bands of red, green, and blue, are also sensitive to vegetation chlorophyll and other biochemical contents [86–88]. The dominance of S2 bands in the GRRF-selected variables in all the tested scenarios confirmed the strength and importance of the raw S2 bands in discriminating vegetation land use/cover features [89].

Furthermore, the VIs were also useful in specific crop type identification [90] by measuring the photosynthetic size of specific plant canopies, which could improve the individual cropping patterns classification [91]. The selection of the VIs, i.e., NDWI and GNDVI, can be attributed to the sensitivity of the NIR band in the NDWI to leaf internal structure and leaf dry matter content, whereas the SWIR3 band in the index is sensitive to the vegetation water content and the spongy mesophyll structure in vegetation canopies, reflecting biochemical metrics of vegetation [58]. On the other hand, the GNDVI is more sensitive to the chlorophyll content of the plant because it constitutes the green channel in lieu of the red band [63]. Challenges in mapping cropping patterns using VIs could arise due to multicollinearity in the VIs [30], but this was catered for by using the GRRF algorithm to select the most important VIs in the respective scenarios.

Time-series phenology variables have been found to be the best at differentiating temporal and spectral variability of crop growth [92]. The selection of the VP variables of Offset\_value, TINDVIBeforeMax, and TINDVIAfterMax can be explained by the sensitivity of Offset\_value to land use and land cover differences, whereas TINDVIBeforeMax and TINDVIAfterMax describe the pre- and post-anthesis stages, respectively, which can differ among different plants [66]. The specific phenological states of the different crops and vegetation were not physically observed in the present because the aim was to utilize the uniqueness of the phenological profiles in a broader sense, as a function of cropping patterns to discriminate among them. Earlier studies, such as that of Makori et al. [93], have utilized VP variables in a landscape of mixed vegetation species that included perennial and annual plants to model some features of interest. It is speculated that a phenological profile of a mixed cropping pattern (e.g., avocado and maize) would considerably differ from that of a monocropping pattern (e.g., avocado). However, the mixed cropping pattern classes were mapped with the least individual (F1-score) accuracy, which could be due to the confounding VP variables of, for instance, a mixture of perennial (avocado) and annual (maize) cropping pattern classes. This is one of the limitations of the present study; therefore, future studies could develop advanced methods for differentiating among the VP variables across mixed vegetation classes.

Regarding the contribution of S1 backscatter data, the sensitivity of VH polarization to vegetation could have influenced the performance of the most accurate classification scenario (C5), i.e., GRRF-selected variables in S2 bands, VIs, and S1, with an overall accuracy of 94.33% and Kappa coefficient of 0.93. Interestingly, the sensitivity of VV polarization to soil moisture [94] could have rendered the underperformance of scenario C4 i.e., GRRF-selected variables in S2 bands and S1 compared to all other scenarios. This is contrary to other previous studies, such as that of Tricht et al. [95], which reported an improvement in classification accuracy when combining S2 and S1 datasets.

Cropping patterns including monocrop avocado, mixed crop avocado, monocrop maize, mixed crop maize, monocrop tea, monocrop pineapple, and monocrop coffee, grown in a highly complex, heterogeneous, and dynamic agro-natural setup in Murang'a

County, Kenya, were accurately mapped. In the field, it was observed that most of the mixed crop fields were small-scale avocado and small-scale maize fields that were mixed with other crops such as common bean, banana, and macadamia. It is speculated that the farmers intend to maximize the profit of their land by mixing the crops in the same piece of land, particularly due to the uncertainty in rainfall trend and invasion of insect pests, such as the fall armyworm, which could damage their maize crop [96]. High class-wise UA, PA, and F1-scores were especially observed in the mapping of monocropping patterns of avocado, coffee, tea, and pineapple. Presumably, this could be due to the high spectral uniformity of the monocropping patterns, which also resulted in less intra-class variability. However, mixed crop avocado and mixed crop maize cropping pattern classes had lower F1-scores ranging from 80% to 91% compared to other cropping pattern classes in the present study. This can be explained by high inter-class variability associated with the different vegetation compositions, including non-croplands such as forest, grasslands, and shrublands; hence, a high rate of misclassification was found within the farms [27]. In terms of acreage estimation from the most accurate scenario (C5), i.e., GRRF-selected variables in S2 bands, VIs, and S1, monocrop maize had the greatest acreage (15,052.61 ha) followed by mixed crop avocado (14,404.16 ha). This could be explained by the fact that maize is a staple crop in Kenya, and avocado farming is gaining popularity among small-scale farmers in Murang'a County due to its increasing export value in Kenya [49,97]. Overall, all the classes in the tested scenarios provided good agreement when compared with high spatial resolution Google Earth imagery. The insignificant statistical differences from McNemar's test between the tested classification scenarios could be explained by the use of related samples (i.e., the same training data used in the classification of all the scenarios) [45]. The use of the same training data in all the classification scenarios was necessary for this study to enable the unbiased comparison of the performance of the classification scenarios. Another reason for the insignificant differences among the eight classification scenarios could also be due to the fact that all the scenarios included S2 bands.

In summary, this study's approach for mapping cropping patterns performed significantly better than most pre-existing approaches for classifying land use/land cover and cropping systems in agro-ecological landscapes. For instance, Kyalo et al. [10], who mapped maize-based cropping systems in a study area in Kenya using bio-temporal Rapid-Eye bands and VIs, achieved about 85% mapping accuracy. Ochungo et al. [89], who fused single-date S1 and S2 datasets to map different land use/land cover features in Kenya, obtained an OA of 86%. Because the readily available S2 and S1 datasets, and a semi-automated protocol to map smallholder farmer cropping patterns were utilized, it is expected that these results are repeatable, and could be used to promptly provide feedback to different stakeholders, including farmers themselves, when high spatial resolution imagery is not readily available due to cost implications.

## 6. Conclusions

This study investigated the synergetic advantage of integrating multi-date freely available medium spatial resolution S2 bands, their VIs and VP derivatives, and S1 backscatter data for mapping cropping patterns using GRRF and RF machine learning algorithms for relevant variable selection and cropping pattern classification, respectively, in an agronatural heterogeneous landscape in Kenya. The study also used the area under class method for assessing classification accuracy, which provided an opportunity to obtain an insight into the acreage of the various cropping patterns. The best performing classification scenario was GRRF-selected variables of S2, VIs, and S1 combination with OA = 94.33% and Kappa = 0.93. The selected variables in this scenario were VH, NDWI, SWIR, NNIR, RE1, Red, and Green bands. In general, the mixed cropping patterns of avocado and maize had the lowest accuracies compared to the monocropping patterns of tea, pineapple, maize, avocado, and coffee. Future studies could examine the use of more advanced algorithms such as artificial intelligence to improve the mapping accuracy of mixed cropping pattern classes.

Overall, this study's approach could be extended to other locations of similar agro-ecological conditions. Moreover, the study's output could also be used as input parameters for the prediction of the abundance and spread of crop insect pests, diseases, and pollinators.

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